



UNITED STATES PATENT AND TRADEMARK OFFICE  
Attorney Docket No. 15737US01

In the Application of:

SCOTT OLIVE

Serial No.: 09/462,717

Filed: April 10, 2000

For: SLOT MACHINE GAME AND  
SYSTEM WITH IMPROVED  
JACKPOT FEATURE

Examiner: Kim Nguyen

Group Art Unit No.: 3713

Confirmation No.: 5432

EV 436263852 US  
Express Mail Label No.

September 6, 2005  
Date

**TRANSMITTAL OF SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT  
AFTER APPLICATION FILING DATE**

MAIL STOP AMENDMENT  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Dear Examiner Nguyen:

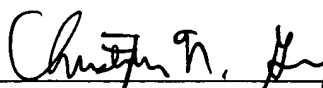
Enclosed are the following:

- (1) A completed PTO Form 1449 which has 3 pages.
- (2) A copy of each of the non-U.S. patent references cited in the PTO Form 1449.

This IDS is being submitted as a result of art uncovered during litigation and is being submitted with an authorization to charge an IDS fee of \$180.00 to Account No. 13-10017 prior to a final office action in the above-identified patent application. This submission is in no way intended as an admission that the above-cited references constitute prior art under any subsection of 35 U.S.C. § 102. Applicant expressly retains the right to take any actions necessary to remove

the above-cited references from the available prior art. Consideration of the above-identified references in the examination of the present patent application is respectfully requested. The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Account No. 13-0017.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Christopher N. George", is written over a horizontal line.

Christopher N. George, Esq.  
Registration No. 51,728  
Attorney for Applicant

September 6, 2005

Date

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500 W. Madison, 34th Floor  
Chicago, IL 60661  
Telephone: (312) 775-8000  
Facsimile: (312) 775-8100



Please type a plus sign (+) inside this box →



Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

1449A/PTO Rev. 10/95		U.S. Department of Commerce Patent and Trademark Office		Complete if Known	
<b>LIST OF ART CITED BY APPLICANT</b> (use as many sheets as necessary)				Application Number	09/462,717
				Filing Date	April 10, 2000
				First Named Inventor	Olive
				Group Art Unit	3713
				Examiner Name	Kim Nguyen
				Attorney Docket Number	15737US01
Sheet 1		Of 3			

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		
	1	5,074,559		Okada	12-24-1991
	2	5,564,700		Celona	10-15-1996
	3	5,769,716		Saffari	06-23-1998
	4	5,833,540		Miodunski	11-10-1998
	5	6,117,013		Eiba	09-12-2000
	6	5,601,487		Oshima	02-11-1997
	7	6,007,427		Wiener	12-28-1999
	8	6,572,471		Bennett	06-03-2003
	9	5,833,540		Miodunski	11-10-1998
	10	4,743,024		Helm	05-10-1988

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Office <sup>3</sup>	Foreign Patent Document		Name of Patentee or Applicant of cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>6</sup>
			Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
	11		WO 97/27568		Brindle	07-31-1997	
	12		WO 99/10849 A1		McNee	08-25-1997	
	13		GB 2,231,189		Healy	11-07-1990	
OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, country, where published, source.					T <sup>2</sup>
	A	Statutory Declaration of John Boris Golja, Australia, May 21, 2004					
	B	Statement of Grounds and Particulars in Support of Opposition, May 21, 2003					
Examiner Signature				Date Considered			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation not in conformance and not considered. Include copy of this form with next communication to applicant.



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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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				Attorney Docket Number	15737US01
Sheet 2		Of 3			

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		
	14	5,743,800		Huard	04-28-1999
	15	6,039,649		Schulze	03-21-2000
	16	5,855,514		Kamille	01-05-1999
	17	5,851,148		Brune	12-22-1998
	18	4,582,324		Koza	04-15-1986
	19	4,652,998		Koza	03-24-1987
	20	4,842,278		Markowicz	06-27-1989
	21	5,276,312		McCarthy	01-04-1994
	22	5,344,144		Canon	09-06-1994

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Office <sup>3</sup>	Foreign Patent Document		Name of Patentee or Applicant of cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>6</sup>
			Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
	23		DE 4,200,254		Kaiser	08-05-1993	
	24		WO 98/47115		Walker	11-22-1998	
	25		DE 3,415,114		Zeiegenbruch	10-31-1985	
	26		DE 3,917,683		Gauselmann	12-06-1990	
	27		GB 2,282,690		Phillips	04-12-1995	
OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, country, where published, source.					T <sup>2</sup>
	C						
Examiner Signature					Date Considered		

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Sheet 3		Of 3			

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		
	28	5,377,993		Josephs	01-03-1995
	29	5,511,781		Wood	04-30-1996
	30	5,566,337		Szymanski	10-15-1996
	31	5,626,341		Jones	05-06-1997
	32	5,762,552		Vuong	06-09-1998
	33	5,806,855		Cherry	09-15-1998
	34	5,820,459		Acres	10-13-1998
	35	5,581,011		Lott	12-22-1998
	36	5,947,822		Weiss	09-07-1999
	37	5,951,011		Potter	09-14-1999

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Office <sup>3</sup>	Foreign Patent Document		Name of Patentee or Applicant of cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>6</sup>
			Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
	38		AU 707,687		Harlick	04-24-1997	
	39		AU 630,112		Tracy	03-29-1990	
	40		AU 633,469		Bennett	01-28-1993	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, country, where published, source.	T <sup>2</sup>
	A		
	B		
Examiner Signature			Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation not in conformance and not considered. Include copy of this form with next communication to applicant.



9-8-05

37138

PTO/SB/21 (08-00)

Approved for use through 10/31/2002

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)		Application Number	09/462,717
		Filing Date	April 10, 2000
		First Named Inventor	Scott Olive
		Group Art Unit	3713
		Examiner Name	Kim T. Nguyen
Total Number of Pages in This Submission	6 pgs. + 13 references	Attorney Docket Number	15737US01

**ENCLOSURES (check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) (      sheets) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Return-Receipt Postcard <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks	Charge any fees or credit any overpayment to 13-0017.	

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual Name	McAndrews Held & Malloy, Ltd.
Signature	<i>Christopher N. George</i>
Date	September 6, 2005

**CERTIFICATE OF FAX TRANSMITTAL**

I hereby certify that this correspondence is being sent via Express Mail, Express Mail Label No. EV 436263852 US, to Kim Nguyen at the United States Patent and Trademark Office.

Name (Print/type)	Christopher N. George	Registration No. (Attorney/Agent)	51,728
Signature	<i>Christopher N. George</i>	Date	September 6, 2005



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# FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 180

## Complete if Known

Application Number	09/462,717
Filing Date	April 10, 2000
First Named Inventor	Scott Olive
Examiner Name	Kim T. Nguyen
Art Unit	3713
Attorney Docket No.	15737US01

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None  
Order

☒ Deposit Account:

Deposit  
Account  
Number

13-0017

Deposit  
Account  
Name

McAndrews Held & Malloy

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) or any underpayment of fee(s)  
☐ Charge fee(s) indicated below, except for the filing fee  
to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	790	2001	395	Utility filing fee	0
1002	350	2002	175	Design filing fee	
1003	550	2003	275	Plant filing fee	
1004	790	2004	395	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)

(\$ ) 0

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	20	-20 **	=	0	X	18	=	0
Independent Claims	3	-3 **	=	0	X	88	=	0
Multiple Dependent	0				X		=	0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	88	2201	44	Independent claims in excess of 3
1203	300	2203	150	Multiple dependent claim, if not paid
1204	88	2204	44	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ ) 0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	430	2252	215	Extension for reply within second month	
1253	980	2253	490	Extension for reply within third month	
1254	1,530	2254	765	Extension for reply within fourth month	
1255	2,080	2255	1,040	Extension for reply within fifth month	
1401	340	2401	170	Notice of Appeal	
1402	340	2402	170	Filing a brief in support of an appeal	
1403	300	2403	150	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,370	2453	685	Petition to revive - unintentional	
1501	1,370	2501	685	Utility issue fee (or reissue)	
1502	490	2502	245	Design issue fee	
1503	660	2503	330	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	2809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ ) 180

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Christopher N. George	Registration No. (Attorney/Agent)	51,728	Telephone	312-775-8000
Signature		Date	September 6, 2005		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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